

Committee History and Structure General Assembly First Committee¹

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The United Nations General Assembly (GA) was established in 1945 by the Charter of the United Nations. ² Each member state has a permanent seat on this committee. Thus the GA is the congress or parliament of the United Nations. It "occupies a central position as the chief deliberative, policy-making and representative organ of the United Nations."

In the GA, each UN member state has one vote. No matter how different in military and economic power, all are equal. Thus the United States' vote counts the same as Egypt's, and Bolivia has the same voice as China. This is opposed to some other UN committees, such as the Security Council, which have limited membership or special voting rules. This feature gives the General Assembly a great deal of legitimacy on the world stage. It is a place where world public opinion can be expressed.

The GA covers all issues of global importance. Article 14 of the United Nations Charter gives the GA the power to "recommend measures for the peaceable adjustment of any situation, regardless of origin, which it deems likely to impair the general welfare or friendly relations among nations." According to the Charter, the GA "may discuss any questions or any matters within the scope of the present Charter or relating to the powers and functions of any organs" except "any matters relative to the maintenance of international peace and security which are being dealt with by the Security Council." ⁴

The majority of GA resolutions originate in one of the GA's many subcommittees, such as GA-1 (disarmament and security), GA-2 (economic and financial), GA-3 (social, humanitarian, and cultural), and ECOSOC (economic and social). Thus, the relationship between the GA and its subcommittees is akin to that between the US Senate and its subcommittees. Resolutions passed by subcommittees are simply working papers. Only when the GA passes a resolution does it go into effect.

The GA-1 is a forum for UN member states to discuss and take action on security and disarmament issues.⁵ Like the other main committees of the GA, the GA-1 was established in 1947.⁶ Until 1993, the GA-1 was called the Political and Security Committee. General Assembly Resolution 47/233 (1993) renamed it the Disarmament and

¹ This background guide was written by Karen Ruth Adams, Montana Model UN faculty advisor, with contributions from William Selph (2007), Samantha Schorzman (2008), Samantha Stephens (2010), and Evan Laskowski (2011). Copyright 2013 by Karen Ruth Adams.

² United Nations, "Charter of the United Nations," Chapter IV, http://www.un.org/aboutun/charter.

³ "Functions and Powers of the United Nations General Assembly," United Nations General Assembly website, http://www.un.org/ga/about/background.shtml. This quotation is from the General Assembly's 2000 Millennium Declaration, Resolution A/res/55/2, Chapter VIII, Article 30, http://www.un.org/millennium/declaration/ares552e.htm.

⁴ United Nations, "Charter of the United Nations," Chapter IV.

⁵ UN General Assembly First Committee website, http://www.un.org/ga/first/index.shtml.

⁶ UN General Assembly, "Rules of Procedure of the General Assembly," A/520, 2 December 1947, Rule 90, page 17, http://www.un.org/ga/search/view_doc.asp?symbol=A%2F520&Lang=E.

Security Committee. Like the other GA committees, the GA-1 meets each fall at UN headquarters in New York, as well as in special sessions as deemed necessary.

The mandate of the GA is limited by the existence and powers of the Security Council. As mentioned, it can address issues related to international peace and security only if they are not currently under consideration by the Security Council. In principle, this provision would seem to enable the Security Council to completely dominate the UN's deliberations on security matters. In fact, however, disagreements between P-5 members mean that the Security Council is often stymied. For example, the Security Council has never reached agreement on how to respond to North Korea's November 2010 shelling of a South Korean island.

In 1950, during the Korean War, the US led an effort to clarify this power in General Assembly Resolution 377. This resolution, known as the "Uniting for Peace" resolution, states that:

if the Security Council, because of lack of unanimity of the permanent members, fails to exercise its primary responsibility for the maintenance of international peace and security in any case where there appears to be a threat to the peace, breach of the peace, or act of aggression, the General Assembly shall consider the matter immediately with a view to making appropriate recommendations to Members for collective measures, including in the case of a breach of the peace or act of aggression the use of armed force when necessary, to maintain or restore international peace and security. ¹⁰

This is a key power of the General Assembly. Because each of the five permanent members of the Security Council (the US, UK, France, Russia, and China) has only one vote and no veto in the General Assembly, they cannot dominate the GA like they do the Security Council. But the power of the General Assembly to call emergency special sessions has only been used ten times. ¹¹ Historically, these sessions have been most often convened at the request of the US. ¹² The most recent emergency special session, however, was called by Qatar in 1997 to address the "Illegal Israeli actions in occupied East Jerusalem and the rest of the Occupied Palestinian Territory." Unlike previous emergency special sessions, this session has never been adjourned. Instead debate has been repeatedly suspended and reopened. ¹³

The GA has called so few emergency special sessions on security matters because it is rare for security issues to be completely ignored by the Security Council. In addition, it is often difficult for the GA to obtain the two-thirds majority required in Article 18 of the UN Charter to pass resolutions with respect to "the maintenance of international peace and security." Even when such resolutions are passed, they are not binding on member states.

⁷ UN General Assembly Resolution 47/233, "Revitalization of the Work of the General Assembly," 17 August 1993, http://www.un.org/ga/search/view_doc.asp?symbol=A/RES/47/233&Lang=E.

⁸ United Nations, "Charter of the United Nations," Chapter IV, Article 12.

⁹ Louis Charbonneau, "U.N. Security Council deadlocked on Korean crisis," Reuters, 19 December 2010, http://www.reuters.com/article/2010/12/20/us-korea-north-un-idUSTRE6BJ08A20101220

¹⁰ United Nations General Assembly Resolution 377 (1950), http://www.un.org/documents/resga.htm.

¹¹ For a list of emergency special sessions and related resolutions, see http://www.un.org/en/ga/sessions/emergency.shtml

¹² Karen A. Mingst and Margaret P. Karns, *The United Nations in the 21st Century*, 3rd edition (Boulder: Westview, 2007), p. 30.

¹³ United Nations General Assembly, "Tenth Emergency Special Session," http://www.un.org/en/ga/sessions/emergency10th.shtml

¹⁴ United Nations, "Charter of the United Nations," Chapter IV, Article 18.

Unlike Security Council resolutions, GA resolutions are simply recommendations. As a result, it can be difficult for the GA to persuade states with large and capable militaries to carry out enforcement measures to reverse an act of aggression.

Thus although both the GA and the Security Council are charged with addressing international peace and security, the Security Council has more authority on particular breaches of the peace. Nevertheless, the GA-1 can be an effective voice for world public opinion on overarching security and disarmament issues. ¹⁵ In particular, draft resolutions passed by the GA-1 often inspire treaties and conventions that are binding on the states that sign them. ¹⁶ In addition, because of the GA-1's role as a subcommittee of the GA, which controls the UN budget, ¹⁷ the GA-1 can initiate projects related to disarmament and security that have a good chance of being funded.

Membership, Voting, and Leadership

The membership of the GA-1 includes all 193 UN Member States. In addition, non-member states and other entities recognized by the UN as permanent observers may attend and participate in meetings, but they cannot vote. At present, permanent observers include Palestine and the Holy See (Vatican City), as well as a number of international organizations.¹⁸

Each UN member state has one vote. Resolutions and reports pass the committee and go on for General Assembly consideration if approved by a simple majority of member states.

Regional blocs and other alliances play an important role in building consensus. Blocs consist of both countries in the same region and countries from different regions that face similar problems. For example, the Group of 77 is a group of less-developed countries that often vote together.¹⁹

The Chair of the GA-1 chairs the meetings and corrects any procedural mistakes. The chairs of the GA-1 and other five main GA committees are elected annually by the members of their committees, with one chair from each world region. Elections are held at least three months before the beginning of the annual session. The other officers of the GA-1 (three vice chairs and a rapporteur) are elected at the beginning of each annual session.

History and Challenges

In 1945, the founding members of the UN expressed their goals for the organization in Article I of the UN Charter. The first two of these goals falls into the category of security. They are:

1. To maintain international peace and security, and to that end: to take effective collective measures for the prevention and removal of threats to the peace, and for the suppression of acts of

¹⁵ UN General Assembly First Committee website, http://www.un.org/ga/first/index.shtml.

¹⁶ Karen A. Mingst and Margaret P. Karns, *The United Nations in the 21st Century*, 3rd edition (Boulder: Westview, 2007), p. 33.

¹⁷ United Nations, "Charter of the United Nations," Chapter IV.

¹⁸ United Nations, "Permanent Observers: Non-Member States and Entities," http://www.un.org/en/members/nonmembers.shtml and "Permanent Observers: International Organizations," http://www.un.org/en/members/intergovorg.shtml.

¹⁹ "The Group of 77 at the United Nations," http://www.g77.org/. For more information on voting blocs, see Soo Yeon Kim and Bruce Russett, "The new politics of voting alignments in the United Nations General Assembly," *International Organization*, Vol. 50, No 4 (1996), pp. 629-652.

²⁰ UN General Assembly, "Rules of Procedure," Section V, Rule 30, and Section XIII, Rules 99 and 103, http://www.un.org/ga/ropga prez.shtml and http://www.un.org/ga/ropga cttees.shtml.

aggression or other breaches of the peace, and to bring about by peaceful means, and in conformity with the principles of justice and international law, adjustment or settlement of international disputes or situations which might lead to a breach of the peace.

2. To develop friendly relations among nations based on respect for the principle of equal rights and self-determination of peoples, and to take other appropriate measures to strengthen universal peace.²¹

Responsibility for these goals is shared between the General Assembly, especially the General Assembly First Committee (disarmament and security), the Security Council, the Trusteeship Council (which suspended operations in 1994), and the General Assembly Second Committee (special political and decolonization). As explained above, there is a complex relationship between the GA and the Security Council. Nevertheless, GA-1 can be effective.

A good example of the GA-1's contribution to international peace and security is its work in promoting the landmine treaty. As early as 1993, non-governmental organizations such as Human Rights Watch and Physicians for Human Rights called for a ban on antipersonnel mines, which have killed and maimed hundreds of thousands of civilians over the past several decades. But it was not until the end of 1996, when the General Assembly passed a US-sponsored resolution "calling on states to 'pursue vigorously' an international agreement to ban use, stockpiling, production, and transfer of antipersonnel mines" that there was enough momentum to draft a treaty.²² The report calling for this resolution originated in the GA-1.²³ By the end of 1997, the Ottawa Treaty was written and opened for signature and ratification. The treaty entered into force on 1 March 1999 after it had been ratified by 40 states. Today, 161 states have ratified the treaty.²⁴

The success of the landmine treaty demonstrates both the GA's role as the "conscience of the Security Council" and the GA's limitations as an enforcement body capable of regulating the behavior of the most powerful states. Among the more than 30 states that have not signed the Ottawa Treaty are the United States, China, and Russia, which are three of the five permanent members of the Security Council. 26

Tension between the GA and GA-1, on the one hand, and the Security Council, on the other, are also evident in the GA's numerous resolutions regarding nuclear disarmament. Because GA resolutions are simply recommendations, they have not been effective in encouraging nuclear weapons states to disarm.²⁷

²² International Campaign to Ban Landmines, "Chronology of the ICBL and the Ban Movement," May 2004, http://www.icbl.org/campaign/history/chronology.

²¹ United Nations, "Charter of the United Nations," Chapter I, Article 1.

²³ UN Chronicle, "First Committee recommends forty-eight disarmament related texts - General Assembly 51," Spring 1997, p. 2, http://findarticles.com/p/articles/mi m1309/is n1 v34/ai 19897996/pg 1?tag=artBody;col1.

²⁴ International Campaign to Ban Landmines, "States Parties," http://www.icbl.org/index.php/icbl/Universal/MBT/States-Parties

²⁵ Courtney B. Smith, *Politics and Process at the United Nations: The Global Dance*, 2006 (Boulder: Lynne Rienner, 2006), p. 161.

²⁶ International Campaign to Ban Landmines, "States not Parties," http://www.icbl.org/index.php/icbl/Universal/MBT/States-Not-Party

²⁷ For an analysis of the voting records of nuclear states on nuclear disarmament resolutions considered by the GA, see David Krieger, "UN Voting on Nuclear Disarmament Shows Abysmal US Record," Nuclear Age Peace Foundation, 2008, http://www.wagingpeace.org/articles/2008/02/13 krieger abysmal record.php?krieger.

Recent and Current Work

In 1978, the GA-1 initiated a resolution creating the UN Disarmament Commission (UNDC), which is the part of the UN Secretariat charged with gathering information on arms control and disarmament issues and making reports and recommendations on those issues to the GA.²⁸ Two of the GA-1's most important recent initiatives – urging states to ratify the Comprehensive Nuclear Test-Ban Treaty and holding a conference to write a new armstrade treaty -- have been in response to UNDC recommendations.

In 2008, the GA-1 responded to pressure from UN Secretary General Ban Ki-moon²⁹ and the UNDC³⁰ and passed a draft resolution calling on states to ratify the Comprehensive Nuclear-Test-Ban Treaty (CTBT).³¹ States that ratify the treaty promise not to test or otherwise detonate nuclear weapons and to allow other states to monitor and inspect their nuclear facilities.³² To enter into force, the treaty must be ratified by 150 countries. This requirement has been met, with 159 ratifications. The treaty must also be ratified by "all 44 of the States mentioned in Annex 2 of the Treaty – those which possessed nuclear weapons or nuclear weapons technology at the time it opened for signature in 1996." ³³ To date, just 36 of the states with nuclear weapons or nuclear energy technology have ratified the treaty. Five of the required states (China, Egypt, Iran, Israel, and the United States) have signed but not ratified the treaty, while three others -- the Democratic Republic of Korea (North Korea), India, and Pakistan -- have not even signed the treaty.³⁴

In 2009, the UNDC recommended that the General Assembly hold a conference in 2012 to establish a legally binding arms trade treaty "on the highest possible common international standards for conventional arms transfers." The month-long meeting, held in July 2012, drafted a treaty but failed to adopt it, largely in response to US delays. The final text of the Arms Trade Treaty (ATT) was approved by the GA in April 2013 with 154 votes in favor, 3 opposed (Iran, North Korea, and Syria), and 23 abstentions (including two permanent members of the

²⁸ United Nations Disarmament Commission, http://www.un.org/disarmament/HomePage/DisarmamentCommission/UNDiscom.shtml

²⁹ Ban Ki-moon, "Comprehensive Test Ban Treaty: Report of the Secretary-General," 3 July 2007, http://www.reachingcriticalwill.org/legal/ctbt/SGreportCTBT2007.pdf

³⁰ "Report of the Disarmament Commission for 2007," 1 May 2007, www.reachingcriticalwill.org/political/dc/statements07/2007Report.pdf.

³¹ "Increasing Pressure on the Nine CTBT Holdouts at the United Nations," CTBTO Preparatory Commission website, 29 October 2008, http://www.ctbto.org/press-centre/highlights/2008/increasing-pressure-on-the-nine-ctbthold-outs-at-the-united-nations/29-october-2008-page-1/?Fsize=a.

³² Arms Control Association, "Comprehensive Test Ban Treaty at a Glance," http://www.armscontrol.org/factsheets/test-ban-treaty-at-a-glance

³³ "Ratification of UN-backed nuclear treaty nears milestone of 150 countries," UN News Centre, 19 August 2009, http://www.un.org/apps/news/story.asp?NewsID=31809&Cr=Nuclear&Cr1=.

³⁴ Arms Control Association, "The Status of the Comprehensive Test Ban Treaty: Signatories and Ratifiers," July 2013, http://www.armscontrol.org/factsheets/ctbtsig

³⁵ "Sending Six Drafts to General Assembly, First Committee Calls for International Day for World Free of Nuclear Weapons, Conference on Arms Trade Treaty in 2012," General Assembly First Committee, 30 Oct. 2009 available at http://www.un.org/News/Press/docs/2009/gadis3402.doc.htm.

³⁶ Farrah Zughni and Daryl G. Kimball, "Bid to Craft Arms Trade Treaty Stalls," Arms Control Association, 27 July 2012, http://www.armscontrol.org/act/2012 09/Bid-to-Craft-Arms-Trade-Treaty-Stalls

Security Council, Russia and China).³⁷ As a result, the ATT is now open for signature and ratification. To date, 85 states have signed the treaty, and 4 states (Antigua and Barbuda, Guyana, Iceland, and Nigeria) have ratified it.³⁸ The ATT will enter into force when 50 states have ratified it.³⁹ Whether that will significantly reduce the trade in and use of arms, which are currently most extreme in Syria, remains to be seen.⁴⁰

In the GA's 67th session (2012-2013), the First Committee held sent 53 draft resolutions to the General Assembly. Of those, 29 required a recorded vote due to lack of consensus. According to the UN Office of Disarmament Affairs (UNODA), "This represents a marked increase ... compared to the last session due to a divergence of views over the provisions of some of the resolutions." Among the issues the GA-1 considered were nuclear disarmament, measures to prevent terrorists from obtaining weapons of mass destruction, preventing an arms race in outer space, and the international arms trade. ⁴² The GA-1 also considered a number of regional security and disarmament issues.

One of the challenges of the GA-1 is to link its work on disarmament and security to the Millennium Development Goals (MDGs) advanced by the General Assembly in 2000. ⁴³ The aim is to reach the following goals by 2015:

- 1. Eradicate extreme poverty and hunger
- 2. Achieve universal primary education
- 3. Promote gender equality and empower women
- 4. Reduce child mortality
- 5. Improve maternal health
- 6. Combat HIV/AIDs, malaria, and other diseases
- 7. Ensure environmental sustainability
- 8. A global partnership for development

Since 2000, the General Assembly has demanded and received annual reports quantifying country and regional progress towards the MDGs. In this way, the GA encourages both short- and long-term progress on the goals. The GA has also repeatedly urged all developed member states to commit to donating 0.7 percent of their

³⁷ UN General Assembly, "Recorded Vote: Resolution 67/234B," 2 April 2013, http://www.un.org/disarmament/update/20130402/ATTVotingChart.pdf For an overview of the history and provisions of the treaty, see UN Office for Disarmament Affairs (UNODA), "The Arms Trade Treaty," http://www.un.org/disarmament/ATT/

³⁸ UNODA, "The Arms Trade Treaty: Status of the Treaty," http://disarmament.un.org/treaties/t/att

³⁹ UNODA, "The Arms Trade Treaty: Text of the Treaty," Article 22, p. 16, http://treaties.un.org/doc/Treaties/2013/04/20130410%2012-01%20PM/Ch XXVI 08.pdf#page=21

⁴⁰ UN Secretary General, "With Syria 'Biggest Challenge of War and Peace' Today, Secretary General says at Peace Palace Commemoration, 'Stop Fighting and Start Talking," Press Release, 28 August 2013, http://www.un.org/News/Press/docs/2013/sgsm15240.doc.htm

⁴¹ UNODA, "First Committee Ends 67th Session Following Delay Caused by Hurricane Sandy," http://www.un.org/disarmament/update/20121109/

⁴² For the full text of these and other 2012-2013 GA resolutions related to disarmament and security, as well as press releases summarizing their debate, see United Nations General Assembly, "Resolutions, 67th Session," http://www.un.org/en/ga/67/resolutions.shtml Resolutions that originated in the GA-1 are indicated in the second column from the left by "C.1." The fourth column contains links to meeting records.

⁴³ United Nations Development Programme, "About the MDGs: Basics," http://www.undp.org/mdg/basics.shtml.

country's gross domestic product toward overseas development assistance.⁴⁴ Although progress has been uneven, both in terms of developed country assistance and less-developed country progress, the MDGs have given the world clear goals for its discussions and efforts regarding development.

Because of the devastating effects of conflict and war on human security and development, the GA-1's work is clearly vital to achieving the MDGs by the target date of 2015. In 2012, the GA-1 passed a resolution on "The Relationship between Disarmament and Development" (A/C.1/66/L.6), which urges "the international community to devote part of the resources made available by the implementation of disarmament and arms limitation agreements to economic and social development, with a view to reducing the ever-widening gap between developed and developing countries."45

Agenda Topics for the MMUN Conference

At the 2013 Montana Model United Nations Conference, the General Assembly First Committee will consider the following topics:

- 1. Addressing the Environmental Effects of War
- 2. Strengthening Security and Cooperation in the Arctic and Antarctic

When writing your position papers and resolutions, think broadly about these issues, remembering both the overarching goals of the United Nations General Assembly and the perspective of the country you represent. In addition, remember that the GA-1 can address only aspects of these issues that are not being addressed by the Security Council.

Recommended Reading

Annan, Kofi. "In Larger Freedom: Towards Development, Security, and Human Rights for All." Report of the Secretary General. 21 March 2005. Available at http://www.un.org/largerfreedom/.

The "Strengthening of the UN" sections of this report by the former secretary general summarizes some of the problems of the General Assembly. In addition, the "Freedom from Fear" sections summarize many of the contemporary issues related to disarmament, peace, and security.

"Charter of the United Nations." Available at http://www.un.org/aboutun/charter/.

This document lays out the purposes and procedures of the UN. Delegates should be familiar with this document, especially Chapter IV, which addresses the GA.

Stockholm International Peace Research Institute (SIPRI). "Armed Conflicts and Conflict Management" and "Arms Control and Disarmament Documentary Survey." Website. Available at http://www.sipri.org/.

SIPRI is prominent non-governmental organization that provides detailed information on the number, types, and locations of contemporary wars; the military forces, expenditures, and agreements of various states; the status of arms control treaties; and contemporary security challenges. It is an excellent resource for learning about many issues related to disarmament and security, as well as particular conflicts, such as the civil war in Syria.

⁴⁴Anne-Marie Slaughter, "Security, Solidarity and Sovereignty: The Grand Themes of UN Reform," *The American* Journal of International Law 99, no. 3 (2005); p. 630. On the .7% goal, see "The 0.7% target: An in-depth look," Millennium Project website, http://www.unmillenniumproject.org/press/07.htm.

⁴⁵ UN General Assembly, "General Assembly, Gravely Concerned about Status of UN Disarmament Machinery, Especially in Conference on Disarmament, Invites States to Explore Options," press release GA/11182, 2 December 2011, http://www.un.org/News/Press/docs//2011/ga11182.doc.htm

United Nations. "The General Assembly of the United Nations." Available at http://www.un.org/ga.

This is the official website of the General Assembly. It provides information on the General Assembly's actions and duties, as well as the agendas and resolutions of its various committees.

United Nations. "General Assembly First Committee," Available at http://www.un.org/ga/first/index.shtml.

This is the official website of the GA-1. It provides access to the GA-1's current draft resolutions and reports. For GA-1 resolutions and meetings from last year, see the source described above in footnote 42.

United Nations. "Member States." Available at http://www.un.org/en/members/index.shtml

This site provides access to each state's UN mission website, where you can research your country's position on the issues before the UN. See also the sources in footnotes 34 and 37 to find out your country's position on the CTBT and ATT.

United Nations. "Millennium Development Goals Report 2013." Available at http://www.un.org/millenniumgoals/reports.shtml

This report uses graphs and photos to show the work that has been done to meet the MDGs in various countries and regions since 2000. It also provides suggestions for meeting the goals by 2015.

United Nations General Assembly. "General Assembly, in Wake of High-Stakes Debate in First Committee that Championed Common Positions but Fell Short of Bridging Divides, Adopts 58 Texts," Press Release GA/11321. 3 December 2012. Available at http://www.un.org/News/Press/docs//2012/ga11321.doc.htm

This press release summarizes a Fall 2012 GA Plenary debate on resolutions initiated by the GA-1. Search for your country's name to see what position it has taken on recent security and disarmament issues.

United Nations General Assembly. "Plenary Speeches." Available at http://www.unol.org/gaspeeches.html

From this site you can read or watch your country's speeches at high-level GA Plenaries. This will give you a sense of its policy priorities and diplomatic style.